

# FISH & RICHARDSON P.C.

2200 Sand Hill Road  
Suite 100  
Menlo Park, California  
94025

Telephone  
415 322-5070

Facsimile  
415 854-0875

Frederick P. Fish  
1855-1930

Richardson  
1859-1951

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## Box Patent Application

Assistant Commissioner of Patents  
Washington, DC 20231

Presented for filing is a new continuation-in-part patent application of:

**Applicant:** DOYLE E. BENNETT, THOMAS H. OSTERHELD, FRITZ  
REDECKER AND GINETTO ADDIEGO  
**Title:** POLISHING PAD HAVING A GROOVED PATTERN FOR  
USE IN A CHEMICAL MECHANICAL POLISHING  
APPARATUS

Enclosed are the following papers, including those required to receive a filing date  
under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	24
Claims	7
Abstract	1
Declaration	4 (Unsigned)
Drawing Sheets	16 (Informal)

This application is a continuation-in-part and claims benefit of priority under 35  
USC §120 of U.S. application serial no. 08/856,948, filed May 15, 1997.

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Burt Villalba  
BURT VILLALBA

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January 6, 1998

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The filing fee is calculated as follows:

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Independent claims in excess of 3 times \$82.00	246.00
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A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

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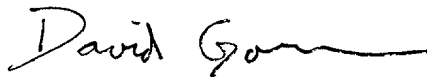
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Legal Affairs Department  
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Please make all telephone calls to David J. Goren at 650-322-5070.

Respectfully submitted,



David J. Goren  
Reg. No. 34,609

Enclosures  
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**APPLICATION**  
**FOR**  
**UNITED STATES LETTERS PATENT**

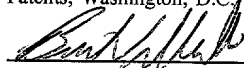
**TITLE:** **POLISHING PAD HAVING A GROOVED PATTERN FOR USE  
IN A CHEMICAL MECHANICAL POLISHING APPARATUS**

**APPLICANT:** **DOYLE E. BENNETT, THOMAS H. OSTERHELD, FRITZ  
REDEKER AND GINETTO ADDIEGO**

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BURT VILLALBA

POLISHING PAD HAVING A GROOVED PATTERN FOR  
USE IN A CHEMICAL MECHANICAL POLISHING APPARATUS

5                   Cross-Reference to Related Applications

This application is a continuation-in-part of U.S. Application Serial No. 08/856,948, filed May 15, 1997, the entire disclosure of which is incorporated herein by reference.

10                   Background

The present invention relates generally to chemical mechanical polishing of substrates, and more particularly to a polishing pad having a grooved pattern for a chemical mechanical polishing apparatus.

15                   Integrated circuits are typically formed on substrates, particularly silicon wafers, by the sequential deposition of conductive, semiconductive or insulative layers. After each layer is deposited, the layer is etched to create circuitry features. As a series of layers are  
20 sequentially deposited and etched, the outer or uppermost surface of the substrate, i.e., the exposed surface of the substrate, becomes increasingly non-planar. This non-planar outer surface presents a problem for the integrated circuit manufacturer. Therefore, there is a need to periodically  
25 planarize the substrate surface to provide a flat surface.

Chemical mechanical polishing (CMP) is one accepted method of planarization. This method typically requires that the substrate be mounted on a carrier or polishing head. The exposed surface of the substrate is then placed  
30 against a rotating polishing pad. The carrier head provides a controllable load, i.e., pressure, on the substrate to push it against the polishing pad. In addition, the carrier

head may rotate to provide additional motion between the substrate and polishing surface.

A polishing slurry, including an abrasive and at least one chemically-reactive agent, may be supplied to the polishing pad to provide an abrasive chemical solution at the interface between the pad and the substrate. CMP is a fairly complex process, and it differs from simple wet sanding. In a CMP process, the reactive agent in the slurry reacts with the outer surface of the substrate to form reactive sites. The interaction of the polishing pad and abrasive particles with the reactive sites on the substrate results in polishing of the substrate.

An effective CMP process not only provides a high polishing rate, but also provides a substrate surface which is finished (lacks small-scale roughness) and flat (lacks large-scale topography). The polishing rate, finish and flatness are determined by the pad and slurry combination, the relative speed between the substrate and pad, and the force pressing the substrate against the pad. The polishing rate sets the time needed to polish a layer. Because inadequate flatness and finish can create defective substrates, the selection of a polishing pad and slurry combination is usually dictated by the required finish and flatness. Given these constraints, the polishing time needed to achieve the required finish and flatness sets the maximum throughput of the CMP apparatus.

A recurring problem in CMP is non-uniformity of the polishing rate across the surface of the substrate. One source of this non-uniformity is the so-called "edge-effect", i.e., the tendency for the substrate edge to be polished at a different rate than the center of the substrate. Another source of non-uniformity is termed the

"center slow effect", which is the tendency of center of the substrate to be underpolished. These non-uniform polishing effects reduce the overall flatness of the substrate and the substrate area suitable for integrated circuit fabrication, thus decreasing the process yield.

Another problem relates to slurry distribution. As indicated above, the CMP process is fairly complex, requiring the interaction of the polishing pad, abrasive particles and reactive agent with the substrate to obtain the desired polishing results. Accordingly, ineffective slurry distribution across the polishing pad surface provides less than optimal polishing results. Polishing pads used in the past have included perforations about the pad. These perforations, when filled, distribute slurry in their respective local regions as the polishing pad is compressed. This method of slurry distribution has limited effectiveness, since each perforation in effect acts independently. Thus, some of the perforations may have too little slurry, while others may have too much slurry. Furthermore, there is no way to directly channel the excess slurry to where it is most needed.

Another problem is "glazing" of the polishing pad. Glazing occurs when the polishing pad is heated and compressed in regions where the substrate is pressed against the pad. The peaks of the polishing pad are pressed down and the pits are filled up, so the polishing pad surface becomes smoother and less abrasive. As a result, the polishing time increases. Therefore, the polishing pad surface must be periodically returned to an abrasive condition, or "conditioned", to maintain a high throughput.

In addition, during the conditioning process, waste materials produced by conditioning the pad may fill or clog

the perforations in the pad. Perforations clogged with such waste materials do not hold slurry effectively, thereby reducing the effectiveness of the polishing process.

An additional problem associated with filled or clogged pad perforations relates to the separation of the polishing pad from the substrate after polishing has been completed. The polishing process produces a high degree of surface tension between the pad and the substrate. The perforations decrease the surface tension by reducing the contact area between the pad and the substrate. However, as the perforations become filled or clogged with waste material, the surface tension increases, making it more difficult to separate the pad and the substrate. As such, the substrate is more likely to be damaged during the separation process.

Yet another problem in CMP is referred to as the "planarizing effect". Ideally, a polishing pad only polishes peaks in the topography of the substrate. After a certain period of polishing, the areas of these peaks will eventually be level with the valleys, resulting in a substantially planar surface. However, if a substrate is subjected to the "planarizing effect", the peaks and valleys will be polished simultaneously. The "planarizing effect" results from the compressible nature of the polishing pad in response to point loading. In particular, if the polishing pad is too flexible, it will deform and contact a large surface area of the substrate, including both the peaks and the valleys in the substrate surface.

Accordingly, it would be useful to provide a CMP apparatus which ameliorates some, if not all, of these problems.

### Summary

In one aspect, the invention is directed to a polishing pad for polishing a substrate in a chemical mechanical polishing apparatus. The polishing pad comprises  
5 a first polishing region having a first plurality of substantially circular concentric grooves with a first width and a first pitch, and a second polishing region surrounding the first polishing region and having a second plurality of substantially circular concentric grooves with a second  
10 width and a second pitch. At least one of the second width and second pitch differs from the first width and first pitch.

In another aspect, the polishing pad comprises a polishing surface having a first polishing region and a  
15 second polishing region surrounding the first polishing region, a spiral groove formed in the polishing surface, the spiral groove having a first pitch in the first polishing region and a second, different pitch in the second polishing region.

In another aspect, the polishing pad comprises a  
20 first polishing region having a first plurality of substantially circular concentric grooves, and a second polishing region surrounding the first polishing region and having a plurality of substantially serpentine grooves.

In another aspect, the polishing pad comprises a  
25 first polishing region having a first plurality of substantially circular concentric grooves, and a second polishing region surrounding the first polishing region and having a second plurality of substantially circular  
30 concentric grooves. A center of the second plurality of concentric grooves is offset from a center of the first plurality of concentric grooves.



In another aspect, the polishing pad comprises a first polishing region having a first plurality of substantially circular concentric grooves, and a second polishing region surrounding the first polishing region and having a plurality of groove arc segments. The groove arc segments are disposed along concentric circular paths such that each groove arc segment does not radially overlap a groove arc segment on an adjacent path.

In another aspect, the polishing pad comprises a first polishing region having a first plurality of substantially circular concentric grooves, and a second polishing region surrounding the first polishing region and having a spiral groove.

Implementations of the invention may include the following. Each groove may have a depth of at least about 0.02 inches, a width of at least about 0.015 inches, and a pitch of at least about 0.09 inches. A third polishing region may surround the second polishing region and have substantially circular concentric grooves. The width and pitch of the grooves in the third region may be equal to the width and pitch of the grooves in the first region. The pitch of the groove or grooves in the first region may be different, e.g., larger, than the pitch of the groove or grooves in the second region. The width of the groove or grooves in the first region may be different, e.g., smaller, than the pitch of the groove or grooves in the second region. Specifically, the first pitch may be about two times larger than the second pitch, and the second width may be about six times greater than the first width. The grooves in the first region may cover about 25% of the surface area of the first region, and the grooves in the second region may cover about 50% of the surface area of the

second region. The spiral groove may have a uniform width. The serpentine grooves may have a pitch between about one and two times their amplitude, or between about one-and-one-half and two times their width. The grooves in the second  
5 region may have a width of about 0.125 inches and a pitch of about 0.2 inches. The serpentine groove may have an amplitude between about 0.2 and 0.4 inches. The center of the first plurality of circular grooves may be offset from the center of the second plurality of circular grooves by a  
10 distance approximately equal to a pitch of the second plurality of grooves. The grooves in the third region may be concentric with the grooves in the first region.

Advantages of the invention include the following. The polishing pad provides improved polishing uniformity.  
15 The grooves of the polishing pad provide an effective way to distribute slurry across the pad. The grooves are sufficiently wide that waste material produced by the conditioning process can be flushed from the grooves. The polishing pad is sufficiently rigid to avoid the  
20 "planarizing effect". The polishing pad's relatively deep grooves also improve the pad lifetime.

Other features and advantages will be apparent from the following description, including the drawings and claims.

#### Brief Description of the Drawings

25 FIG. 1 is a schematic exploded perspective view of a chemical mechanical polishing apparatus.

FIG. 2 is a schematic cross-sectional view of a carrier head and a polishing pad.

30 FIG. 3 is a schematic top view of a polishing pad having concentric circular grooves.

FIG. 4 is a schematic cross-sectional view of the polishing pad of FIG. 3 along line 4-4.

FIG. 5 is a schematic top view of a polishing pad using a spiral groove.

5        FIG. 6 is a schematic top view of a polishing pad having regions of different groove spacing.

FIG. 7 is a cross-sectional view of the polishing pad of FIG. 6 along line 7-7.

10       FIG. 8 is a schematic top view of a polishing pad having regions with different groove widths.

FIG. 9 is a cross-sectional view of the polishing pad of FIG. 8 along line 9-9.

15       FIG. 10 is a schematic top view of a polishing pad having regions with different groove widths and different groove spacing.

FIG. 11 is a cross-sectional view of the polishing pad of FIG. 10 along line 11-11.

20       FIG. 12 is a schematic top view of a polishing pad having a spiral groove and regions of different groove pitch.

FIG. 13 is a schematic top view of a polishing pad having concentric circular grooves and serpentine grooves.

FIG. 14 is a schematic top view of a polishing pad having circular grooves with different radial centers.

25       FIG. 15 is a schematic top view of a polishing pad having concentric circular grooves and groove arc segments.

FIG. 16 is a schematic top view of a polishing pad having both concentric circular grooves and a spiral groove.

#### Detailed Description

30       Referring to FIG. 1, one or more substrates 10 will be polished by a chemical mechanical polishing apparatus 20.

A complete description of polishing apparatus 20 may be found in U.S. Patent Application Serial No. 08/549,336, entitled RADIALLY OSCILLATING CAROUSEL PROCESSING SYSTEM FOR CHEMICAL MECHANICAL POLISHING, filed October 27, 1995 by Ilya Perlov, et al., and assigned to the assignee of the present invention, the entire disclosure of which is incorporated herein by reference. Polishing apparatus 20 includes a lower machine base 22 with a table top 23 mounted thereon and a removable outer cover (not shown). Table top 23 supports a series of polishing stations 25a, 25b and 25c, and a transfer station 27. Transfer station 27 forms a generally square arrangement with the three polishing stations 25a, 25b and 25c. Transfer station 27 serves multiple functions, including receiving individual substrates 10 from a loading apparatus (not shown), washing the substrates, loading the substrates into carrier heads (to be described below), receiving the substrates from the carrier heads, washing the substrates again, and finally, transferring the substrates back to the loading apparatus.

Each polishing station includes a rotatable platen 30 on which is placed a polishing pad 100. If substrate 10 is an "eight-inch" (200 millimeter) or "twelve-inch" (300 millimeter) diameter disk, then platen 30 and polishing pad 100 will be about twenty inches in diameter. Platen 30 may be a rotatable aluminum or stainless steel plate connected to a platen drive motor (not shown). For most polishing processes, the platen drive motor rotates platen 30 at thirty to two hundred revolutions per minute, although lower or higher rotational speeds may be used.

Each polishing station 25a-25c may further include an associated pad conditioner apparatus 40. Each pad conditioner apparatus 40 has a rotatable arm 42 holding an

independently-rotating conditioner head 44 and an associated washing basin 46. The conditioner apparatus maintains the condition of the polishing pad so it will effectively polish any substrate pressed against it while it is rotating.

5 A slurry 50 containing a reactive agent (e.g., deionized water for oxide polishing), abrasive particles (e.g., silicon dioxide for oxide polishing) and a chemically-reactive catalyzer (e.g., potassium hydroxide for oxide polishing) is supplied to the surface of polishing pad  
10 100 by a combined slurry/rinse arm 52. The slurry/rinse arm may include two or more slurry supply tubes to provide slurry to the surface of the polishing pad. Sufficient slurry is provided to cover and wet the entire polishing pad 100. Slurry/rinse arm 52 also includes several spray  
15 nozzles (not shown) which provide a high-pressure rinse of polishing pad 100 at the end of each polishing and conditioning cycle.

Two or more intermediate washing stations 55a and 55b may be positioned between neighboring polishing stations  
20 25a, 25b and 25c. The washing stations rinse the substrates as they pass from one polishing station to another.

A rotatable multi-head carousel 60 is positioned above lower machine base 22. Carousel 60 is supported by a center post 62 and is rotated thereon about a carousel axis  
25 64 by a carousel motor assembly located within base 22. Center post 62 supports a carousel support plate 66 and a cover 68. Carousel 60 includes four carrier head systems 70a, 70b, 70c, and 70d. Three of the carrier head systems receive and hold substrates, and polish them by pressing  
30 them against polishing pads 100 on platens 30 of polishing stations 25a-25c. One of the carrier head systems receives a substrate from and delivers a substrate to transfer

station 27.

The four carrier head systems 70a-70d are mounted on carousel support plate 66 at equal angular intervals about carousel axis 64. Center post 62 allows the carousel motor to rotate carousel support plate 66 and to orbit carrier head systems 70a-70d and the substrates attached thereto about carousel axis 64.

Each carrier head system 70a-70d includes a carrier or carrier head 80. Each carrier head 80 independently rotates about its own axis. A carrier drive shaft 74 connects a carrier head rotation motor 76 (shown by the removal of one quarter of cover 68) to carrier head 80. There is one carrier drive shaft and motor for each head. In addition, each carrier head 80 independently laterally oscillates in a radial slot 72 formed in carousel support plate 66. A slider (not shown) supports each drive shaft 74 in radial slot 72. A radial drive motor (not shown) may move the slider to laterally oscillate the carrier head.

The carrier head 80 performs several mechanical functions. Generally, the carrier head holds the substrate against the polishing pad, evenly distributes a downward pressure across the back surface of the substrate, transfers torque from the drive shaft to the substrate, and ensures that the substrate does not slip out from beneath the carrier head during polishing operations.

Referring to FIG. 2, each carrier head 80 includes a housing assembly 82, a base assembly 84 and a retaining ring assembly 86. A loading mechanism may connect base assembly 84 to housing assembly 82. The base assembly 84 may include a flexible membrane 88 which provides a substrate receiving surface for the carrier head. A description of carrier head 80 may be found in U.S. Patent Application Serial No.

08/745,679, entitled A CARRIER HEAD WITH A FLEXIBLE MEMBRANE  
FOR A CHEMICAL MECHANICAL POLISHING SYSTEM, filed November  
8, 1996, by Steven M. Zuniga et al., assigned to the  
assignee of the present invention, the entire disclosure of  
5 which is incorporated herein by reference.

Polishing pad 100 may comprise a composite material  
having a roughened polishing surface 102. Polishing pad 100  
may have an upper layer 36 and a lower layer 38. Lower  
layer 38 may be attached to platen 30 by a pressure-  
10 sensitive adhesive layer 39. Upper layer 36 may be harder  
than lower layer 38. Upper layer 36 may be composed of  
polyurethane or polyurethane mixed with a filler. Lower  
layer 38 may be composed of compressed felt fibers leached  
with urethane. A two-layer polishing pad, with the upper  
15 layer composed of IC-1000 and the lower layer composed of  
SUBA-4, is available from Rodel, Inc. of Newark, Delaware  
(IC-1000 and SUBA-4 are product names of Rodel, Inc.).

Referring to FIGS. 3 and 4, a plurality of  
concentric circular grooves 104 are disposed in polishing  
20 surface 102 of polishing pad 100. Advantageously, these  
grooves are uniformly spaced with a pitch P. The pitch P,  
as shown mostly clearly by FIG. 4, is the radial distance  
between adjacent grooves. Between each groove is an annular  
partition 106 having a width Wp. Each groove 104 includes  
25 walls 110 which terminate in a substantially U-shaped base  
portion 112. Each groove may have a depth Dg and a width  
Wg. Alternately, the grooves may have a rectangular cross-  
section.

The walls 110 may be generally perpendicular and  
30 terminate at U-shaped base 112. Each polishing cycle  
results in wear of the polishing pad, generally in the form  
of thinning of the polishing pad as polishing surface 102 is

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worn down. The width  $W_g$  of a groove with substantially  
perpendicular walls 110 does not change as the polishing pad  
is worn. Thus, the generally perpendicular walls ensure  
that the polishing pad has a substantially uniform surface  
5 area over its operating lifetime.

The various embodiments of the polishing pad include  
wide and deep grooves in comparison to those used in the  
past. The grooves 104 have a minimum width  $W_g$  of about  
0.015 inches. Each groove 104 may have a width  $W_g$  between  
10 about 0.015 and 0.04 inches. Specifically, the grooves may  
have a width  $W_g$  of approximately 0.020 inches. Each  
partition 106 may have a width  $W_p$  between about 0.075 and  
0.20 inches. Specifically, the partitions may have a width  
 $W_p$  of approximately 0.10 inches. Accordingly, the pitch  $P$   
15 between the grooves may be between about 0.09 and 0.24  
inches. Specifically, the pitch may be approximately 0.12  
inches.

The ratio of groove width  $W_g$  to partition width  $W_p$   
may be selected to be between about 0.10 and 0.25. The  
20 ratio may be approximately 0.2. If the grooves are too  
wide, the polishing pad will be too flexible, and the  
"planarizing effect" will occur. On the other hand, if the  
grooves are too narrow, it becomes difficult to remove waste  
material from the grooves. Similarly, if the pitch is too  
25 small, the grooves will be too close together and the  
polishing pad will be too flexible. On the other hand, if  
the pitch is too large, slurry will not be evenly  
transported to the entire surface of the substrate.

The grooves 104 also have a depth  $D_g$  of at least  
30 about 0.02 inches. The depth  $D_g$  may be between about 0.02  
and 0.05 inches. Specifically, the depth  $D_g$  of the grooves  
may be approximately 0.03 inches. Upper layer 36 may have a



thickness T between about 0.06 and 0.12 inches. As such,  
the thickness T may be about 0.07 inches. The thickness T  
should be selected so that the distance Dp between the  
bottom of base portion 112 and lower layer 38 is between  
5 about 0.035 and 0.085 inches. Specifically, the distance Dp  
may be about 0.04 inches. If the distance Dp is too small,  
the polishing pad will be too flexible. On the other hand,  
if the distance Dp is too large, the polishing pad will be  
thick and, consequently, more expensive. Other embodiments  
10 of the polishing pad may have grooves with a similar depth.

Referring to FIG. 3, grooves 104 form a pattern  
defining a plurality of annular islands or projections. The  
surface area presented by these islands for polishing is  
between about 90% and 75% of the total surface area of  
15 polishing pad 100. As a result, the surface tension between  
the substrate and the polishing pad is reduced, facilitating  
separation of the polishing pad from the substrate at the  
completion of a polishing cycle.

Referring to FIG. 5, in another embodiment, a spiral  
20 groove 124 is disposed in a polishing surface 122 of a  
polishing pad 120. Advantageously, the groove is uniformly  
spaced with a pitch P. A spiral partition 126 separates the  
rings of the spiral. Spiral groove 124 and spiral partition  
126 may have the same dimensions as circular groove 104 and  
25 circular partition 106 of FIG. 3. That is, spiral groove  
124 may have depth of at least about 0.02 inches, a width of  
at least about 0.015 inches, and a pitch of at least about  
0.09 inches. Specifically, spiral groove 124 may have a  
depth between 0.02 and 0.05 inches, such as 0.03 inches, a  
30 width between about 0.015 and 0.40 inches, such as 0.20  
inches, and a pitch P between about 0.09 and 0.24 inches,  
such as 0.12 inches.

Referring to FIGS. 6 and 7, in another embodiment, a plurality of concentric circular grooves 144 are disposed in a polishing surface 142 of a polishing pad 140. However, these grooves are not uniformly spaced. Rather, polishing surface 142 is partitioned into regions in which the grooves are spaced apart with different pitches. In addition, the grooves do not necessarily have a uniform depth.

In one implementation, polishing surface 142 is divided into four concentric regions including an innermost region 150, an annular outermost region 156 and two intermediate regions 152 and 154. Region 150 may be constructed without grooves, and the grooves in region 154 may be more closely spaced than the grooves in regions 152 and 156. Thus, the grooves in the region 154 are spaced apart with a pitch  $P_2$ , whereas the grooves in regions 152 and 156 are spaced apart with a pitch  $P_1$ , where  $P_2$  is less than  $P_1$ . Each groove 144 may have a width  $W_g$ . The width  $W_g$  may be between about 0.015 and 0.04 inches, such as about 0.02 inches. The grooves may also have a uniform depth  $D_g$  of about 0.02 inches for a 0.05 inch thick upper layer 36, or about 0.03 inches for a 0.08 inch thick upper layer.

Between each groove in wide-pitch regions 152 and 156 is a wide annular partition 146a having a width  $W_{p1}$ , whereas between each groove in narrow-pitch region 154 is a narrow annular partition 146b having a width  $W_{p2}$ . Each wide partition 146a may have a width  $W_{p1}$  between about 0.12 and 0.24 inches, such as about 0.18 inches. Accordingly, the pitch  $P_1$  between the grooves in the wide partition regions may be between about 0.09 and 0.24 inches, such as 0.2 inches. Thus, pitch  $P_1$  may be about twice as large as pitch  $P_2$ . The surface area presented by wide partitions 146a is about 90% of the available surface area of the wide

partition regions.

As previously noted, the grooves in region 154 may be spaced closer together. Each narrow partition 146b may have a width  $W_{p2}$  between about 0.04 and 0.12 inches, such as about 0.08 inches. Accordingly, the pitch  $P2$  between the grooves in the narrow partition region may be between about 0.045 and 0.2 inches, such as 0.10 inches. The surface area presented by narrow partitions 146b is about 75% of the available surface area of the narrow partition region.

Polishing pad 140 is particularly suited to reduce polishing uniformity problems, such as the so-called "fast band" effect. The fast band effect tends to appear in oxide polishing using a two-layer polishing pad with an SS12 slurry containing fumed silicas. The fast band effect causes an annular region of the substrate, the center of which is located approximately 15 millimeters from the substrate edge, to be significantly over-polished. This annular region may be about 20 millimeters wide. If polishing pad 140 is constructed to counter the fast band effect, the first region 150 may have a radius  $W1$  of about 3.2 inches, the second region 152 may have a width  $W2$  of about 4.8 inches, the third region 154 may have a width  $W3$  of about 1.2 inches, and the fourth region 156 may have a width  $W4$  of about 0.8 inches. These widths assume that the polishing pad is about 20 inches in diameter, and that the substrate will be moved across the polishing pad surface with a sweep range of about 0.8 inches, so that the substrate will be about 0.2 inches from the edge of the pad at the outermost point of the sweep and about 1.0 inches from the center of the pad at the innermost point of the sweep.

It appears that the polishing rate is comparable to

the percentage of polishing pad surface area that contacts the substrate during polishing. By providing the polishing pad with a region in which more surface area is occupied by the grooves, the polishing rate is reduced in that region.

Specifically, the closely spaced grooves in region 154 decrease the polishing rate in the otherwise over-polished portions of the substrate. Consequently, the polishing pad compensates for the fast band effect and improves polishing uniformity.

In another embodiment, referring to FIGS. 8 and 9, a plurality of concentric circular grooves 164a and 164b are disposed in a polishing surface 162 of a polishing pad 160. These grooves 164a and 164b may be uniformly spaced with a pitch P. However, the grooves do not have a uniform width.

In one implementation, polishing surface 162 is divided into four concentric regions, including an innermost region 170, an outermost region 176, and two intermediate regions 172 and 174. Region 170 may be constructed without grooves, and the grooves 164b in region 174 may be wider than the grooves 164a in regions 172 and 176. The narrow grooves 164a may have a width  $W_{g1}$  whereas the wide grooves 164b may have a width  $W_{g2}$ . Between each narrow groove 164a is a wide annular partition 166a having a width  $W_{p1}$ , whereas between each wide groove 164b is a narrow annular partition 166b having a width  $W_{p2}$ .

The wide grooves may be approximately two to twenty times, e.g., six times, wider than the narrow grooves. The narrow grooves 164a may have a width  $W_{g1}$  between about 0.015 and 0.04 inches, such as 0.02 inches, whereas the wide grooves 164b may have a width  $W_{g2}$  between about 0.04 and 0.3 inches, such as 0.125 inches. The wide partitions 166a may have a width  $W_{p1}$  of between about 0.10 and 0.385 inches,

such as 0.18 inches, whereas the narrow partitions 166b may have a width  $Wp2$  between about 0.05 and 0.10 inches, such as 0.075 inches. The grooves may be evenly spaced with a pitch  $P$  between about 0.09 and 0.40 inches, such as 0.2 inches.

5 In the narrow groove regions 172 and 176, the partitions cover about 75% of the available surface area whereas in the wide-grooved region 174 the partitions cover about 50% of the available surface area.

10 It should be noted that a variety of groove widths and/or spacings may be used to achieve the desired contact surface area. The key factor is that there be less surface area to contact the portions of the substrate which would otherwise be overpolished. A polishing pad having non-uniform groove spacings and widths may also be useful in  
15 processes in which nonuniform polishing of a substrate is desired.

In another embodiment, referring to FIGS. 10 and 11, a plurality of concentric circular grooves 184a and 184b are disposed in a polishing surface 184 of a polishing pad 180.  
20 These grooves 184a and 184b have both a non-uniform pitch and a non-uniform width.

In one implementation, polishing surface 182 is divided into four concentric regions, including an innermost region 190, an outermost region 196, and two intermediate  
25 regions 192 and 194. Region 190 may be constructed without grooves, and grooves 184b in region 194 may be wider but spaced farther apart than grooves 184a in regions 192 and 196. The narrow grooves 184a may have a width  $Wg1$  of about 0.02 inches, whereas wide grooves 184b may have a width  $Wg2$   
30 of about 0.125 inches. The narrow grooves 184a may be disposed with a pitch  $P1$  of about 0.12 inches, whereas wide grooves 184b in region 194 may be disposed with a pitch  $P2$

of about 0.2 inches. Between each narrow groove 184a is an annular partition 186a having a width  $Wp1$  of about 0.1 inches, whereas between each wide groove 184b is an annular partition 186b having a width  $Wp2$  of about 0.075 inches.

5 Referring to FIG. 12, in another embodiment, a spiral groove 204 is disposed in a polishing surface 202 of a polishing pad 200. A spiral partition 206 separates the rings of the spiral. The groove 204 has a non-uniform pitch. The width of groove 204 may be uniform or non-  
10 uniform.

Polishing surface 202 may be divided into four concentric regions, including an innermost region 210, an outermost region 216, and two intermediate regions 212 and 214. In region 214 the spiral groove has a narrower pitch  
15 than in regions 212 and 216. Specifically, spiral groove 204 may have a pitch  $P1$  of about 0.20 inches in regions 212 and 216, and a pitch  $P2$  of about 0.12 inches in region 214. Spiral groove 204 does not extend into region 210.

Referring to FIG. 13, in another embodiment, a  
20 plurality of concentric circular grooves 224a and a plurality of serpentine grooves 224b are disposed in a polishing surface 224 of a polishing pad 220. Serpentine grooves 224b may be wider than circular grooves 224a. Between each circular groove 224a is an annular partition  
25 226a, whereas between each serpentine groove 224b is a serpentine partition 226b. Although not illustrated, some of the serpentine grooves 224b may intersect some of the circular grooves 224a.

Polishing surface 222 may be divided into four  
30 concentric regions, including an innermost region 230, an outermost region 236, and two intermediate regions 232 and 234. Region 230 may be constructed without grooves, whereas

serpentine grooves may be located in region 234, and circular grooves may be located in regions 232 and 236. Circular grooves 224a may be constructed with a width of about 0.02 inches and a pitch of about 0.12 inches. Each  
5 serpentine grooves 224b may undulate between its innermost an outermost radius with an amplitude A of about 0.1 to 0.5 inches, such as 0.2 or 0.4 inches. Each undulation of a serpentine groove may extend through an angle  $\alpha$  between about 5 and 180 degrees, such as 15 degrees. Thus, each  
10 serpentine grooves 224b may have between about 2 and 72, e.g., 24, undulations. The serpentine grooves 224b may have a width of about 0.125 inches and a pitch of about 0.20 inches. The second pitch of serpentine grooves 224 may be between about one and two times their amplitude, or between  
15 about one-and-one-half and two times their second width.

In an exemplary polishing pad, region 232 may extend from a radius of about 3.2 inches to a radius of about 8.0 inches, region 234 may extend from a radius of about 8.0 inches to a radius of about 9.2 inches, and region 236 may  
20 extend from a radius of about 9.2 inches to a radius of about 9.92 inches.

Referring to FIG. 14, in still another embodiment, circular grooves 244a and 244b are disposed in a polishing surface 242 of a polishing pad 240. These grooves have non-  
25 uniform widths. In addition, grooves 244a are concentric about a point 248a, whereas grooves 224b are concentric about a different point 248b. Grooves 244a are separated by annular partitions 246a, whereas grooves 244b are separated by annular partitions 246b. The center points 248a and 248b  
30 may be separated by a distance d approximately equal to the pitch between grooves 244b. Although not illustrated, some of the circular grooves 244a may intersect some of the

circular grooves 244b.

Polishing surface 242 is divided into four concentric regions including an innermost region 250, an outermost region 256, and two intermediate regions 252 and 254. The grooves in regions 252 and 256 are concentric about point 248a, whereas the grooves in region 254 are concentric about point 248b. Grooves 244a and 244b may have widths of 0.02 and 0.125, respectively, and pitches of 0.20 and 0.24, respectively.

Referring to FIG. 15, in yet another embodiment, a plurality of concentric circular grooves 264a and a plurality of segmented groove arcs 264b are formed in a polishing surface 262 of a polishing pad 260. The segmented groove arcs 264b are disposed along adjacent concentric circular paths 268a and 268b. The arcs may be offset so that the arcs on paths 268a are not adjacent to the arcs on paths 268b. An annular partition 266a separates each circular groove 264a, whereas a single partition 266b encompasses groove arcs 264b.

Polishing surface 262 may be divided into four concentric regions, including an innermost region 270, an outermost region 276, and two intermediate regions 272 and 274. Region 270 may be constructed without grooves, whereas groove arcs 264b may be located in region 274 and circular grooves 264a may be located in regions 272 and 276. Circular grooves 264a may have a width of about 0.02 inches and a pitch of about 0.20 inches. Groove arcs 264b may have a width of about 0.125 inches, and circular paths 268a and 268b may be spaced apart by about 0.2 inches. In this embodiment, the pitch may be considered as the between adjacent circular paths.

Referring to FIG. 16, in still another embodiment, a



plurality of concentric circular grooves 284a and a spiral groove 284b are formed in a polishing surface 282 of a polishing pad 280. An annular partition 286a separates each circular groove 284a, whereas spiral groove 284b defines a spiral partition 286b.

Polishing surface 282 may be divided into four concentric regions, including an innermost region 290, an outermost region 296, and two intermediate regions 292 and 294. Region 290 may be constructed without grooves, whereas spiral groove 284b may be located in region 294 and circular grooves 284a may be located in regions 292 and 296. Circular grooves 284a may be constructed similarly to circular grooves 264a, i.e., with a width of about 0.02 inches and a pitch of about 0.12 inches. Spiral groove 284b may have a width of about 0.125 inches, and a pitch of about 0.2 inches. In an exemplary polishing pad, region 282 may extend from a radius of about 3.2 inches to a radius of about 7.88 inches, region 284 may extend from a radius of about 8.0 inches to a radius of about 9.2 inches, and region 286 may extend from a radius of about 9.32 inches to a radius of about 9.92 inches.

In addition, in all of the embodiments, there may be gradients of groove width and/or partition width between adjacent regions. These gradients provide polishing at rates intermediate to the rates in the adjacent regions. Since the substrate is oscillated across the polishing pad surface, the intermediate polishing rates will provide more uniform polishing between adjacent areas of the substrate.

The grooves of the embodiments described above provide air channels which reduce any vacuum build-up between the polishing pad and the substrate. However, as the surface area available for polishing decreases, an

accompanying increase in the polishing time may be required to achieve the same polishing results.

The grooves may be formed in the polishing surface by cutting or milling. Specifically, a saw blade on a mill  
5 may be used to cut grooves in the polishing surface. Alternatively, grooves may be formed by embossing or pressing the polishing surface with a hydraulic or pneumatic press. The relatively simple groove pattern avoids expensive machining. Also, the grooves may be formed by  
10 preparing the polishing pad in a mold. For example, the grooves may be formed during a polymerization reaction in which the polishing pad is cast from a mold which contains a negative image of the grooves.

As was described above, the slurry/rinse arm  
15 provides slurry to the polishing surface. The continuous channels formed in the polishing pad facilitate the migration of slurry around the polishing pad. Thus, excess slurry in any region of the pad may be transferred to another region by the groove structure, providing more  
20 uniform coverage of slurry over the polishing surface. Accordingly, the distribution of slurry is improved and any variations in the polishing rate attributable to poor slurry distribution will be reduced.

In addition, the grooves reduce the possibility that  
25 waste materials generated during the polishing and conditioning cycles will interfere with slurry distribution. The grooves facilitate the migration of waste materials away from the polishing pad surface, reducing the possibility of clogging. The width of the grooves permits a spray rinse  
30 from slurry/rinse arm 52 to effectively flush the waste materials from the grooves.

The depth of the grooves improves polishing pad

lifetime. As discussed above, the conditioning process  
abrades and removes material from the surface of the  
polishing pad, thereby reducing the depth of the grooves.  
Consequently, the lifetime of the pad may be increased by  
5 increasing the groove depth.

The invention is not limited to the embodiment  
depicted and described. Rather, the scope of the invention  
is defined by the appended claims.

What is claimed is:

1           1. A polishing pad for polishing a substrate in a  
2 chemical mechanical polishing apparatus, comprising:

3           a first polishing region having a first plurality of  
4 substantially circular concentric grooves with a first width  
5 and a first pitch;

6           a second polishing region surrounding the first  
7 polishing region and having a second plurality of  
8 substantially circular concentric grooves with a second  
9 width and a second pitch; and

10          wherein at least one of the second width and second  
11 pitch differs from the first width and first pitch.

1           2. The polishing pad of claim 1, further  
2 comprising a third polishing region surrounding the second  
3 polishing region and having a third plurality of  
4 substantially circular concentric grooves with a third width  
5 and a third pitch.

1           3. The polishing pad of claim 2, wherein the third  
2 width and pitch are equal to the first width and pitch,  
3 respectively.

1           4. The polishing pad of claim 3, wherein the first  
2 pitch is larger than the second pitch.

1           5. The polishing pad of claim 4, wherein the first  
2 pitch is about two times larger than the second pitch.

1           6. The polishing pad of claim 3, wherein the first  
2 width is less than the second width.

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1           7.    The polishing pad of claim 6, wherein the  
2   second width is about six time greater than the first width.

1           8.    The polishing pad of claim 1, wherein each  
2   groove of the first and second pluralities of grooves has a  
3   depth of at least about 0.02 inches, a width of at least  
4   about 0.015 inches, and a pitch of at least about 0.09  
5   inches.

1           9.    The polishing pad of claim 8, wherein each  
2   groove of the first and second pluralities of grooves has a  
3   depth between about 0.02 and 0.05 inches.

1           10.   The polishing pad of claim 8, wherein each  
2   groove of the first and second pluralities of grooves has a  
3   width between about 0.015 and 0.04 inches.

1           11.   The polishing pad of claim 8, wherein each  
2   groove of the first and second pluralities of grooves has a  
3   pitch between about 0.09 and 0.24 inches.

1           12.   The polishing pad of claim 1, wherein the first  
2   plurality of grooves are separated by a first plurality of  
3   annular partitions and the second plurality of grooves are  
4   separated by a second plurality of annular partitions.

1           13.   The polishing pad of claim 12, wherein the  
2   first plurality of partitions cover about 75% of the surface  
3   area of the first region and the second plurality of  
4   partitions cover about 50% of the surface area of the second  
5   region.

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1           14. The polishing pad of claim 12, wherein the  
2 partitions of the first plurality of partitions are wider  
3 than the partitions of the second plurality of partitions.

1           15. A polishing pad for polishing a substrate in a  
2 chemical mechanical polishing system, comprising:  
3           a polishing surface having a first polishing region  
4 and a second polishing region surrounding the first  
5 polishing region, a spiral groove formed in the polishing  
6 surface, the spiral groove having a first pitch in the first  
7 polishing region and a second, different pitch in the second  
8 polishing region.

1           16. The polishing pad of claim 15, wherein the  
2 first pitch is larger than the second pitch.

1           17. The polishing pad of claim 15, wherein the  
2 spiral groove has a uniform width.

1           18. The polishing pad of claim 15, further  
2 comprising a third polishing region surrounding the second  
3 polishing region, and the pitch of the spiral groove in the  
4 third polishing region is equal to the first pitch.

1           19. The polishing pad of claim 15 wherein the  
2 spiral groove has a depth of at least about 0.02 inches, a  
3 width of at least about 0.015 inches, and a pitch of at  
4 least about 0.09 inches.

1           20. A polishing pad for polishing a substrate in a  
2 chemical mechanical polishing apparatus, comprising:  
3           a first polishing region having a first plurality of

4 substantially circular concentric grooves; and  
5 a second polishing region surrounding the first  
6 polishing region and having a plurality of substantially  
7 serpentine grooves.

1 21. The polishing pad of claim 20, wherein the  
2 circular grooves have a first pitch, and the serpentine  
3 grooves have a second, different pitch.

1 22. The polishing pad of claim 20, wherein the  
2 circular grooves have a first width, and the serpentine  
3 grooves have a second, different width.

1 23. The polishing pad of claim 20, wherein the  
2 serpentine grooves have a pitch between about one and two  
3 times their amplitude.

1 24. The polishing pad of claim 20, wherein the  
2 serpentine grooves have a pitch between about one-and-one-  
3 half and two times their width.

1 25. The polishing pad of claim 20, wherein the  
2 serpentine grooves have a width of about 0.125 inches, a  
3 pitch of about 0.2 inches, and an amplitude between about  
4 0.2 and 0.4 inches.

1 26. The polishing pad of claim 20, further  
2 comprising a third polishing region surrounding the second  
3 polishing region and having a second plurality of  
4 substantially circular concentric grooves.

1 27. A polishing pad for polishing a substrate in a







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1           38. A polishing pad for polishing a substrate in a  
2 chemical/mechanical polishing apparatus, comprising:  
3           a first polishing region having a first plurality of  
4 substantially circular concentric grooves; and  
5           a second polishing region surrounding the first  
6 polishing region and having a spiral groove.

1           39. The polishing pad of claim 38, wherein the  
2 circular grooves have a first pitch, and the spiral groove  
3 has a second, different pitch.

1           40. The polishing pad of claim 38, wherein the  
2 circular grooves have a first width, and the spiral groove  
3 has a second, different width.

1           41. The polishing pad of claim 38, further  
2 comprising a third polishing region surrounding the second  
3 polishing region and having a second plurality of  
4 substantially circular concentric grooves.

1           42. The polishing pad of claim 38, wherein the  
2 circular grooves and spiral groove have a depth of at least  
3 about 0.02 inches, a width of at least about 0.015 inches,  
4 and a pitch of at least 0.09 inches.

### Abstract

A polishing pad for a chemical mechanical polishing apparatus. The polishing pad includes a plurality of concentric circular grooves. The polishing pad may include multiple regions with grooves of different widths and spacings.

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**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- ☐ original
- ☐ divisional
- ☐ continuation
- ☒ continuation-in-part

**INVENTORSHIP IDENTIFICATION**

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**TITLE OF INVENTION**

*POLISHING PAD HAVING A GROOVED PATTERN FOR USE IN A  
CHEMICAL MECHANICAL POLISHING APPARATUS*

**SPECIFICATION IDENTIFICATION**

The specification of which:

- ☐ is attached hereto
- ☒ was filed on **January 6, 1998**
  - ☐ under Serial No. \_\_\_\_\_ ; or
  - ☐ with Express Mail No \_\_\_\_\_ (as Serial No. not yet known)
- ☐ and was amended on \_\_\_\_\_ (if applicable)
- ☐ was described and claimed in PCT International Application No. \_\_\_\_\_
- ☐ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

**ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56, and which is material to the examination of this application; namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and

[ ] In compliance with this duty there is attached an Information Disclosure Statement in accordance with 37 CFR §1.98.

**PRIORITY CLAIM (35 U.S.C. §119)**

I hereby claim foreign priority benefits under Title 35, United States Code, §119, of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below, and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

[X] No such applications have been filed.

[ ] Such applications have been filed as follows:

- A. Prior foreign/PCT application(s) filed within 12 mos. (6 mos. for design) prior to this application, and any priority claims under 35 U.S.C. §119

<u>Country/PCT</u>	<u>Application No</u>	<u>Date Filed</u>	<u>Priority Claimed</u>
			[ ] Yes [ ] No
			[ ] Yes [ ] No
			[ ] Yes [ ] No

- B. All foreign application(s), if any, filed more than 12 mos. (6 mos for design) prior to this U.S. application

Country:

Application No:

Filing date:

### PRIORITY CLAIM (35 U.S.C. §120)

I hereby claim the benefit under Title 35, United States Code, Section 120, of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information that is material to the examination of this application (namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

- ☐ No such applications have been filed.  
☒ Such applications have been filed as follows:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status</u>		
		<u>Patented</u>	<u>Pending</u>	<u>Abandoned</u>
08/856,948	May 15, 1997		X	

### POWER OF ATTORNEY

I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Robert Mulcahy	Registration No. 25,436
Lawrence Edelman	Registration No. 25,226
Michael Einschlag	Registration No. 29,301
Raymond Kam-On-Kwang	Registration No. 37,165
Peter J. Sgarbossa	Registration No. 25,610
Michael L. Sherrard	Registration No. 28,041
Donald Verplancken	Registration No. 33,217
James C. Wilson	Registration No. 35,412
Leslie A. Weise	Registration No. 36,305
William J. Egan, III	Registration No. 28,411
David J. Goren	Registration No. 34,609
Bao Q. Tran	Registration No. 37,955

#### Send correspondence to:

Patent Counsel  
Applied Materials, Inc.  
Legal Affairs Department  
P.O. Box 450A  
Santa Clara, CA 95052

#### Direct telephone calls to:

David J. Goren  
(415) 322-5070

## DECLARATION

*I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.*

Full name of **first** inventor: Doyle E. Bennett

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Santa Clara, California

Post Office Address: 3107 McKinley Drive, Santa Clara, CA 95051

Country of Citizenship: U.S.A.

Full name of **second** inventor: Thomas H. Osterheld

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Mountain View, California

Post Office Address: 1195 Barbara Avenue, Mountain View, CA 94040

Country of Citizenship: U.S.A.

Full name of **third** inventor: Fritz Redeker

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence:

Post Office Address:

Country of Citizenship:

Full name of **fourth** inventor: Ginetto Addiego

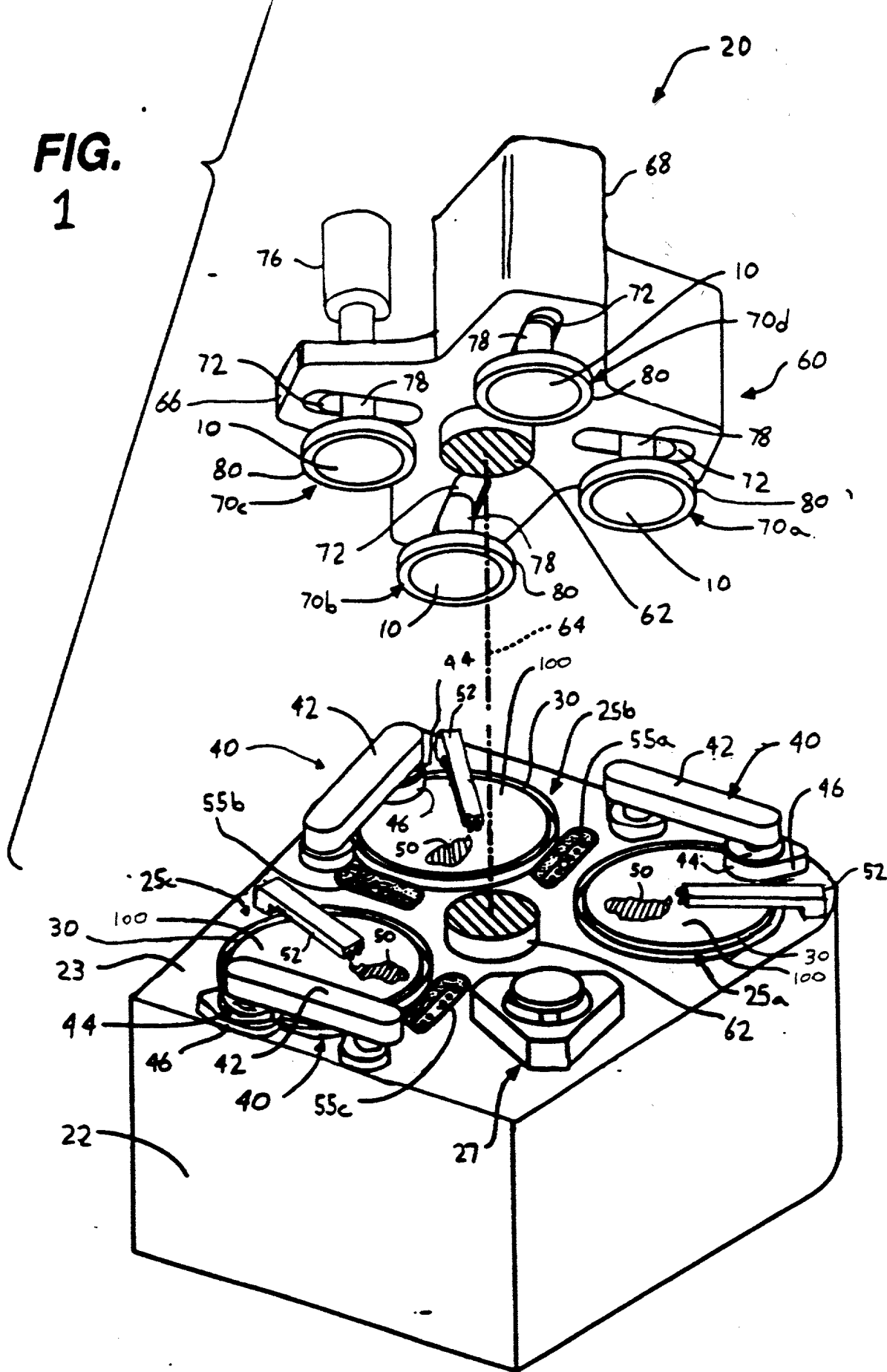
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Berkeley, California

Post Office Address: 1438 Bonita Street, #5, Berkeley, CA 94709

Country of Citizenship:

**FIG.**  
**1**



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FIG. 2

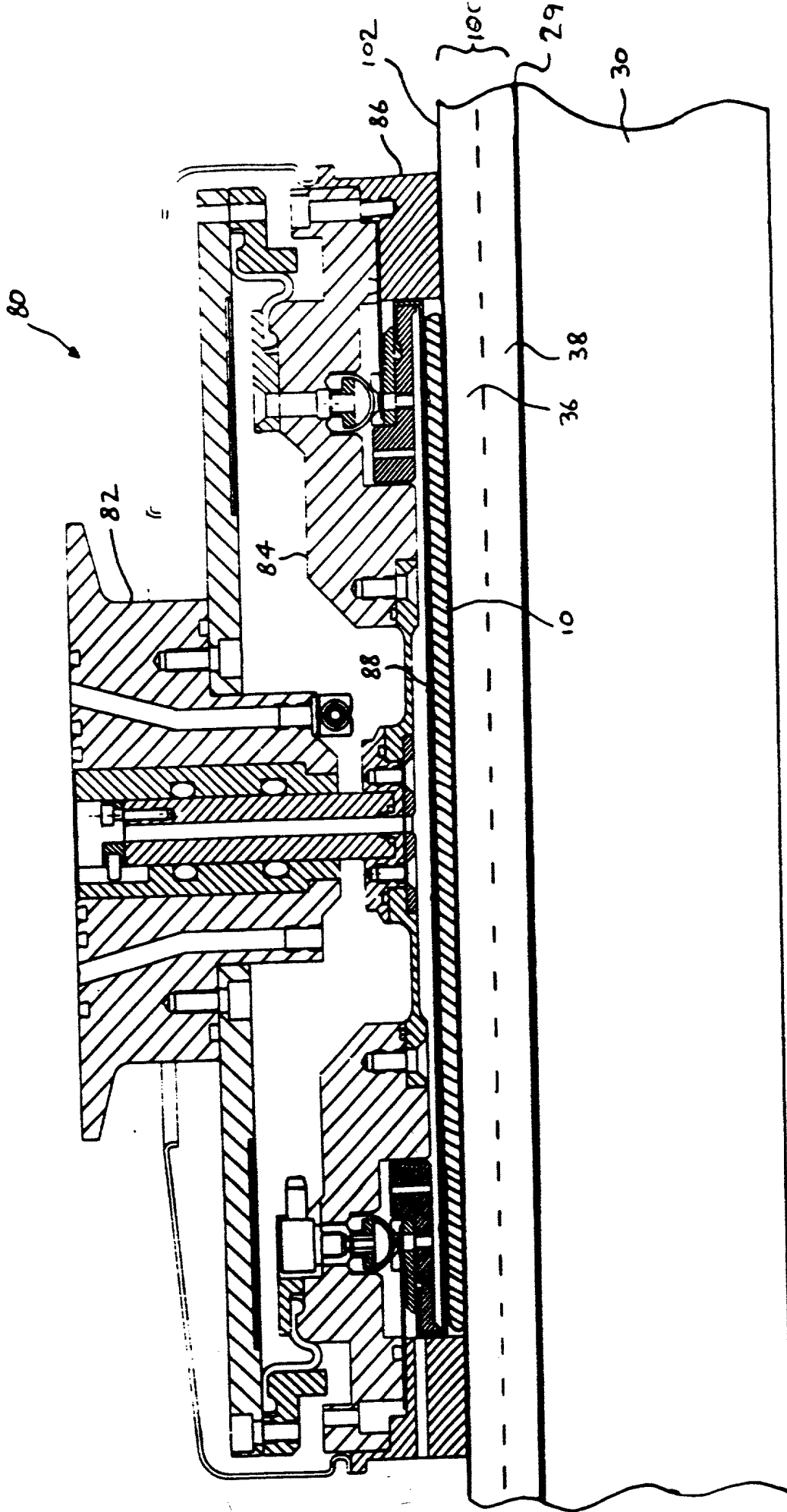
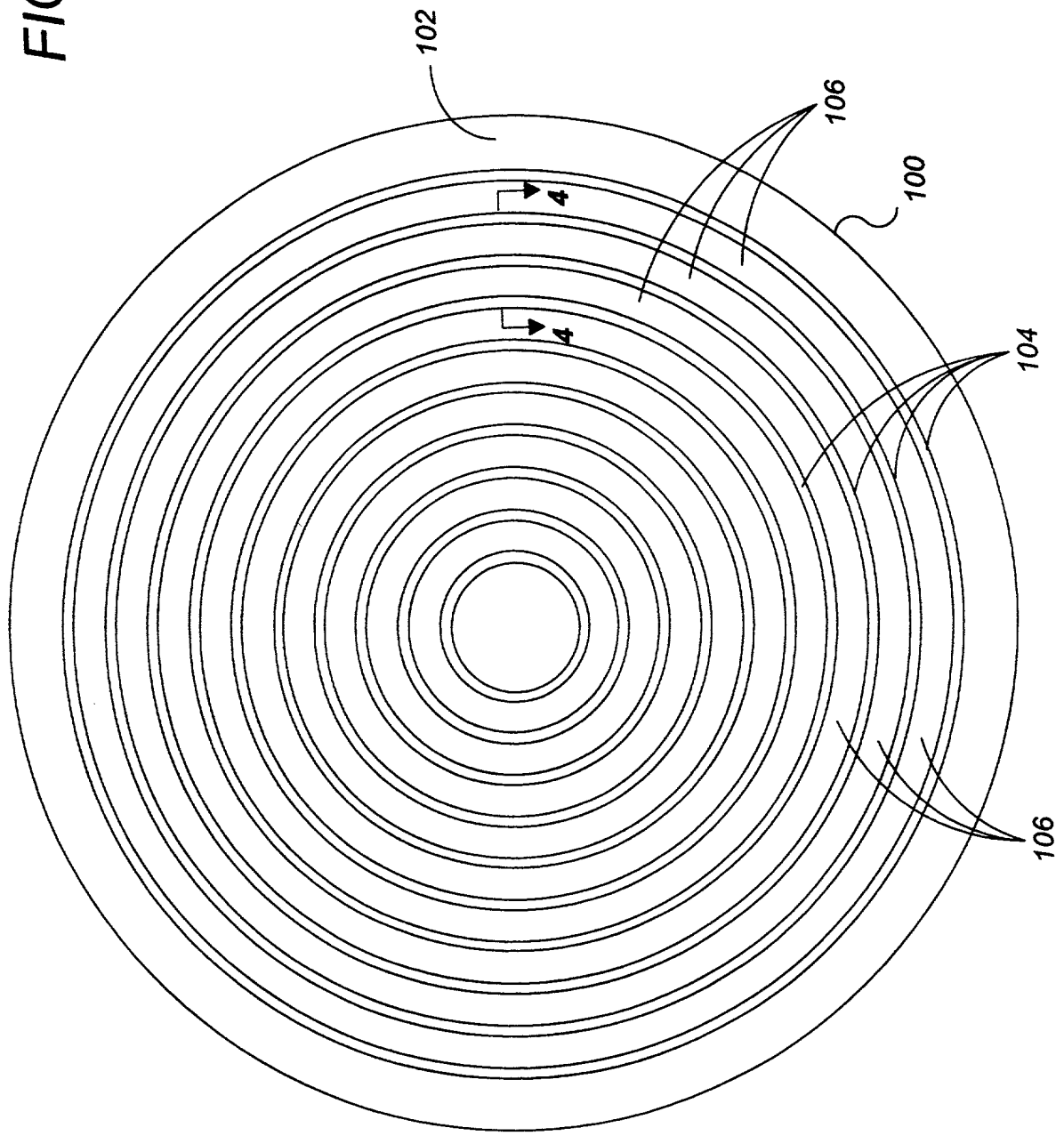


FIG. 3



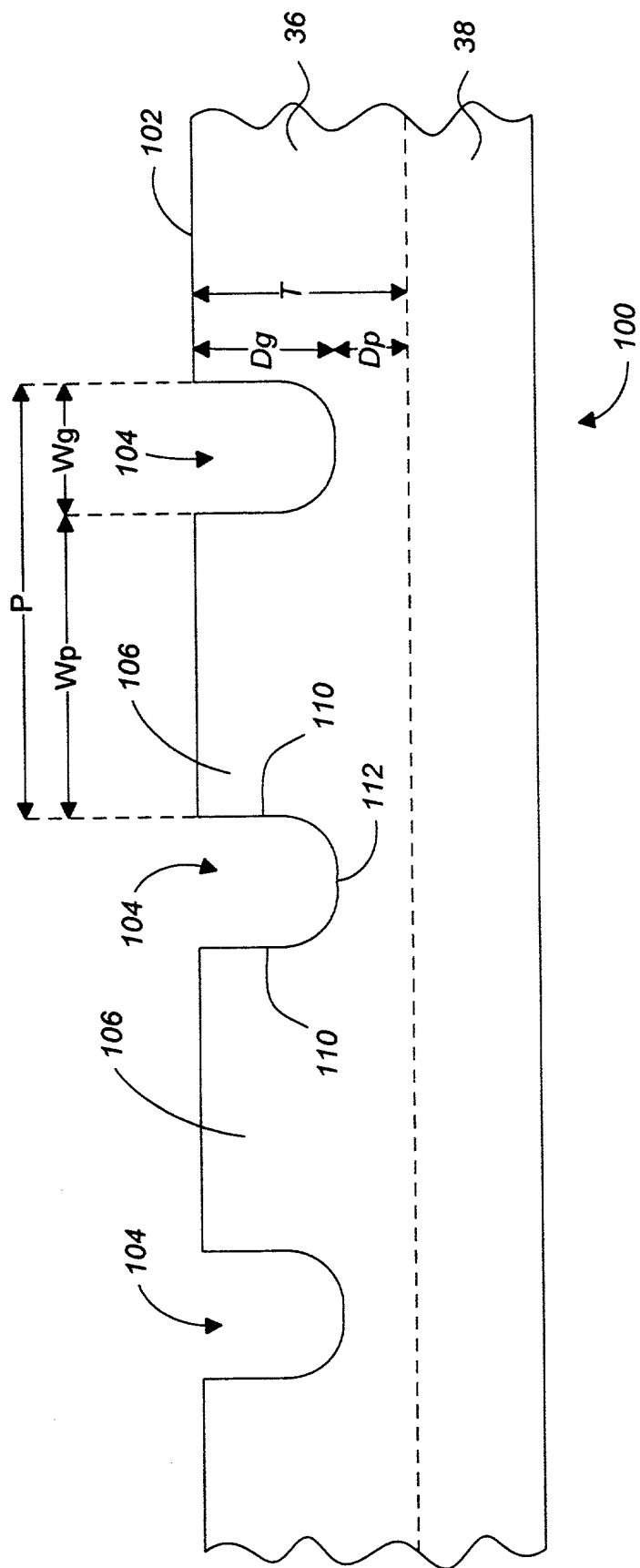
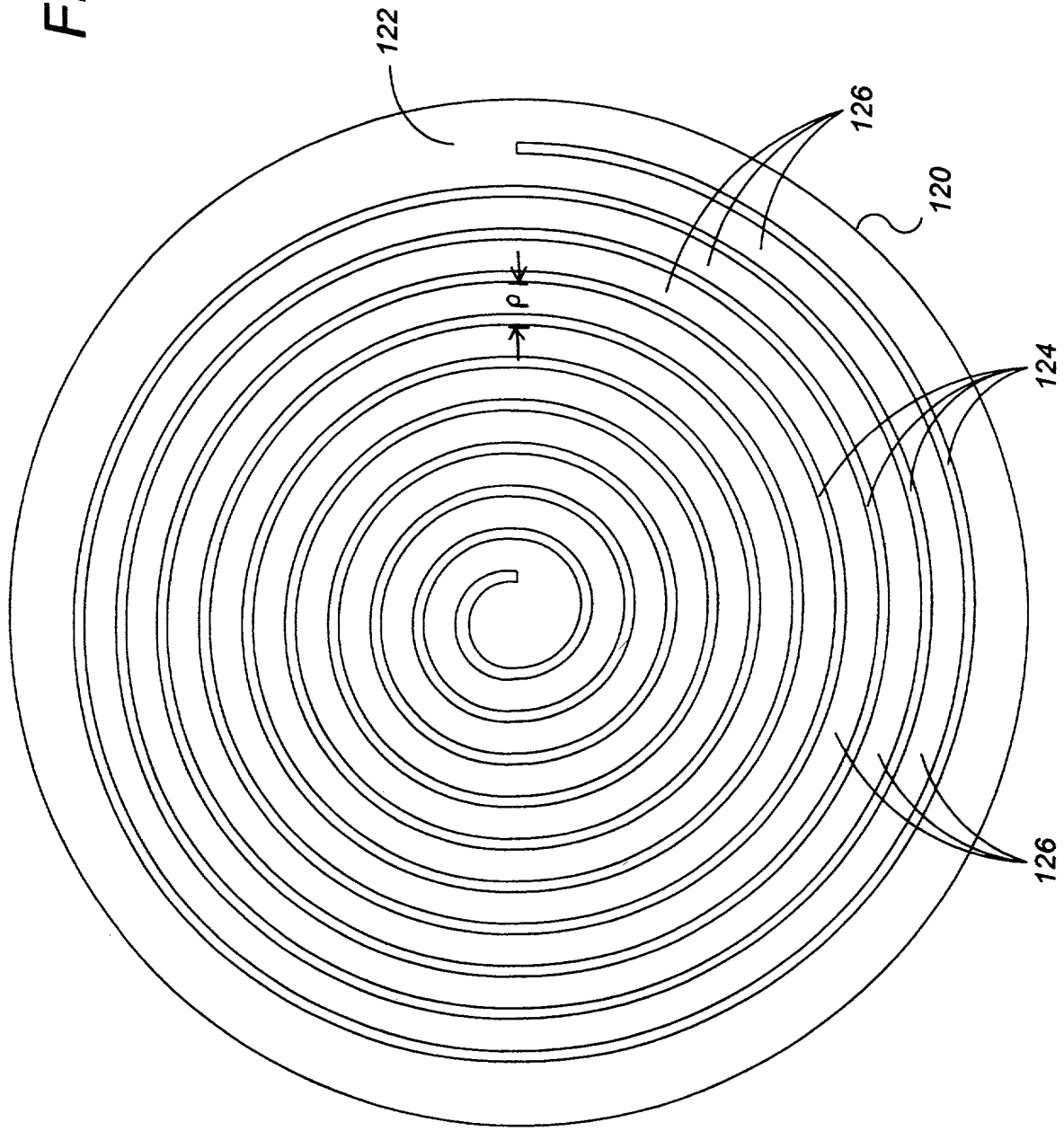
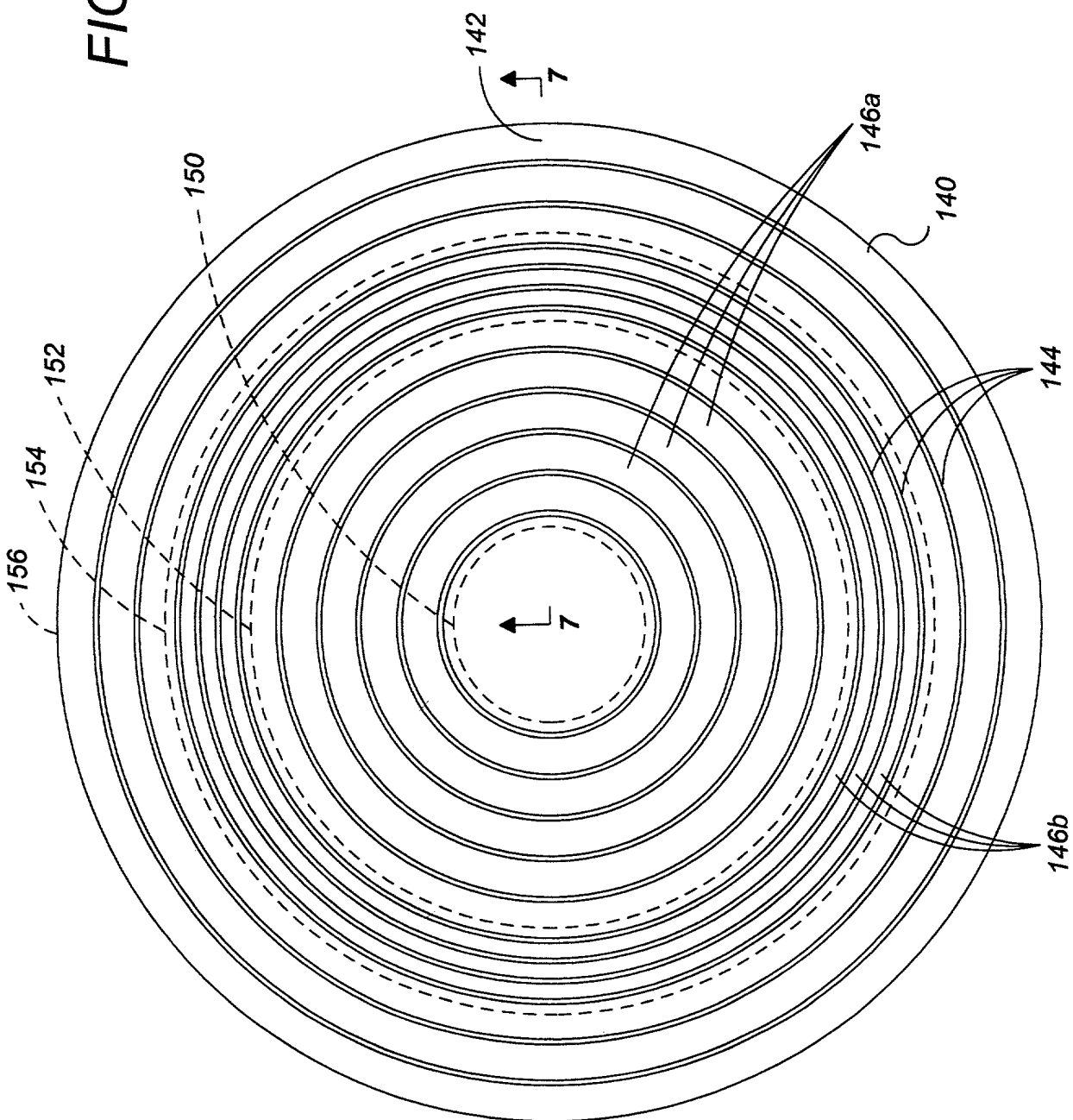


FIG. 4

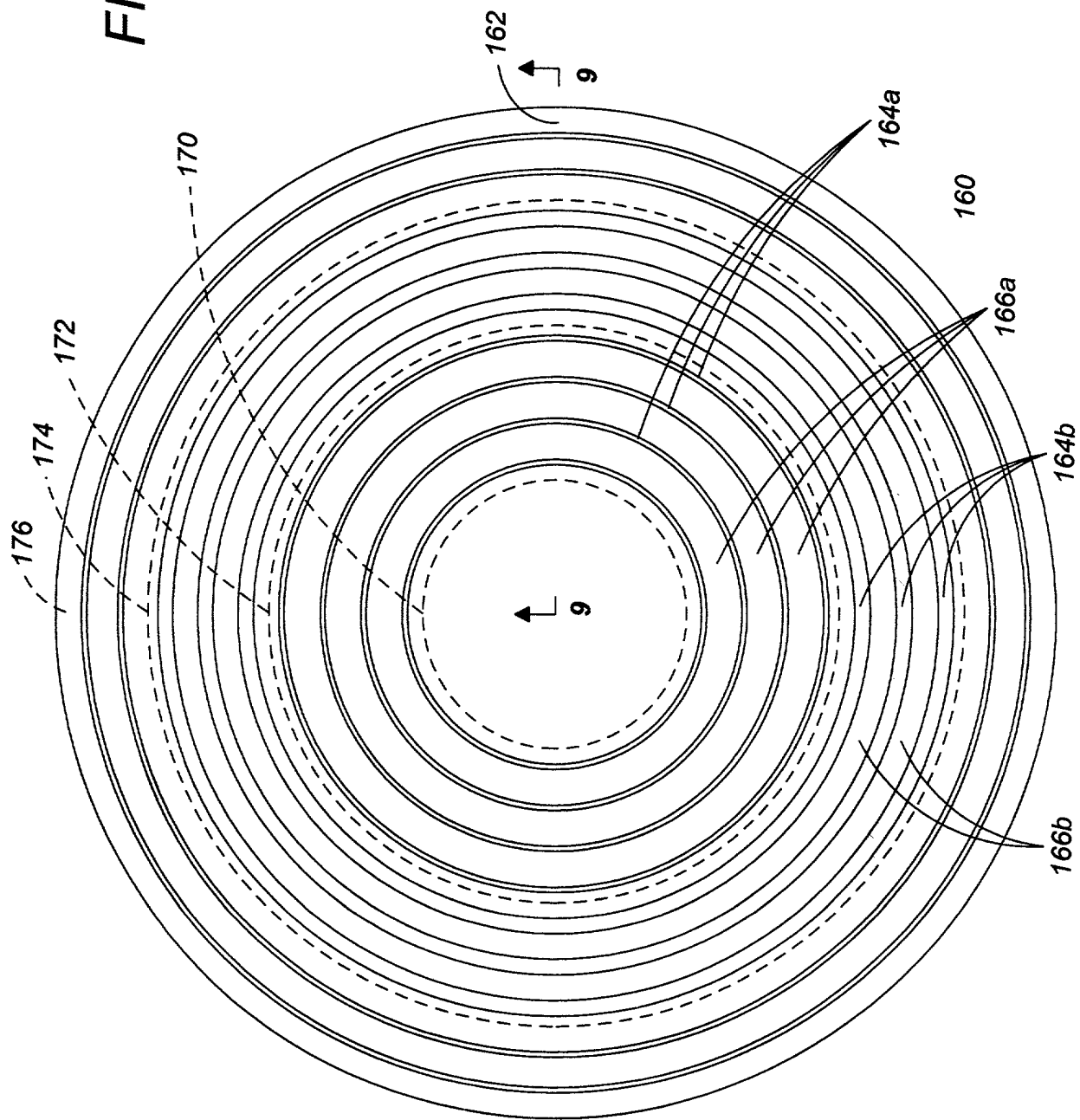
FIG. 5

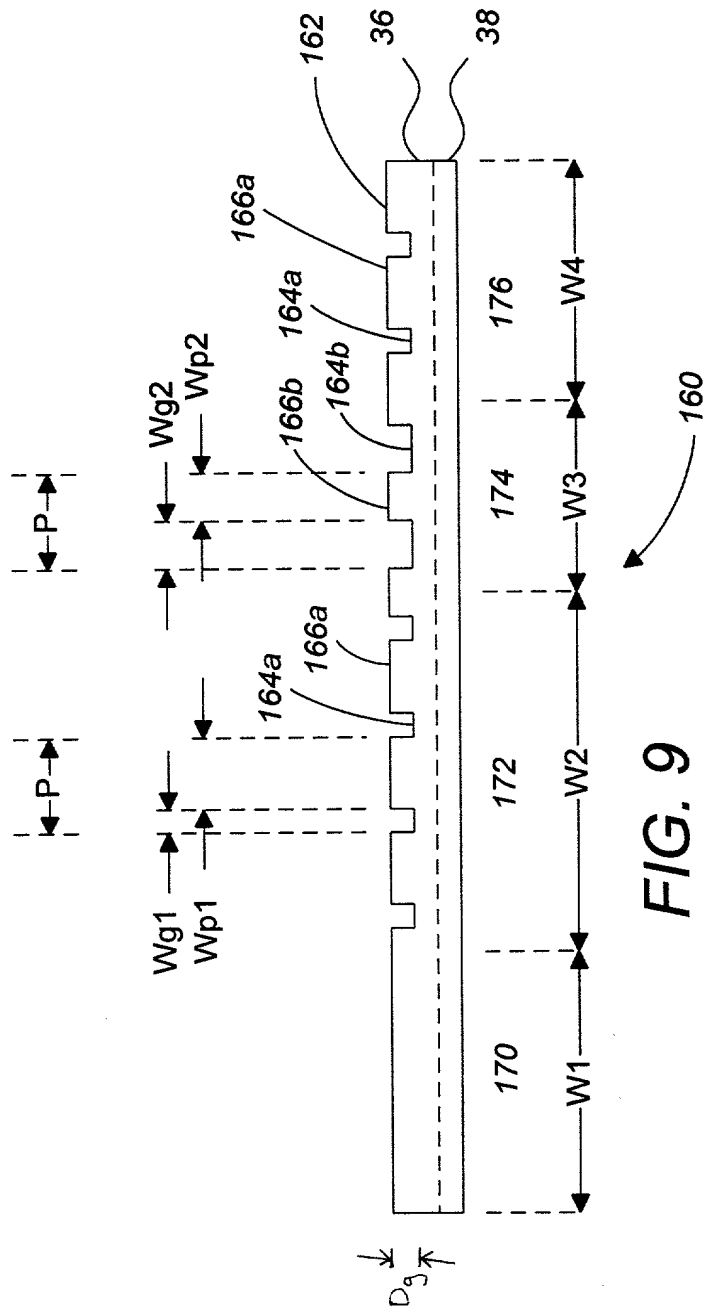




**FIG. 6**

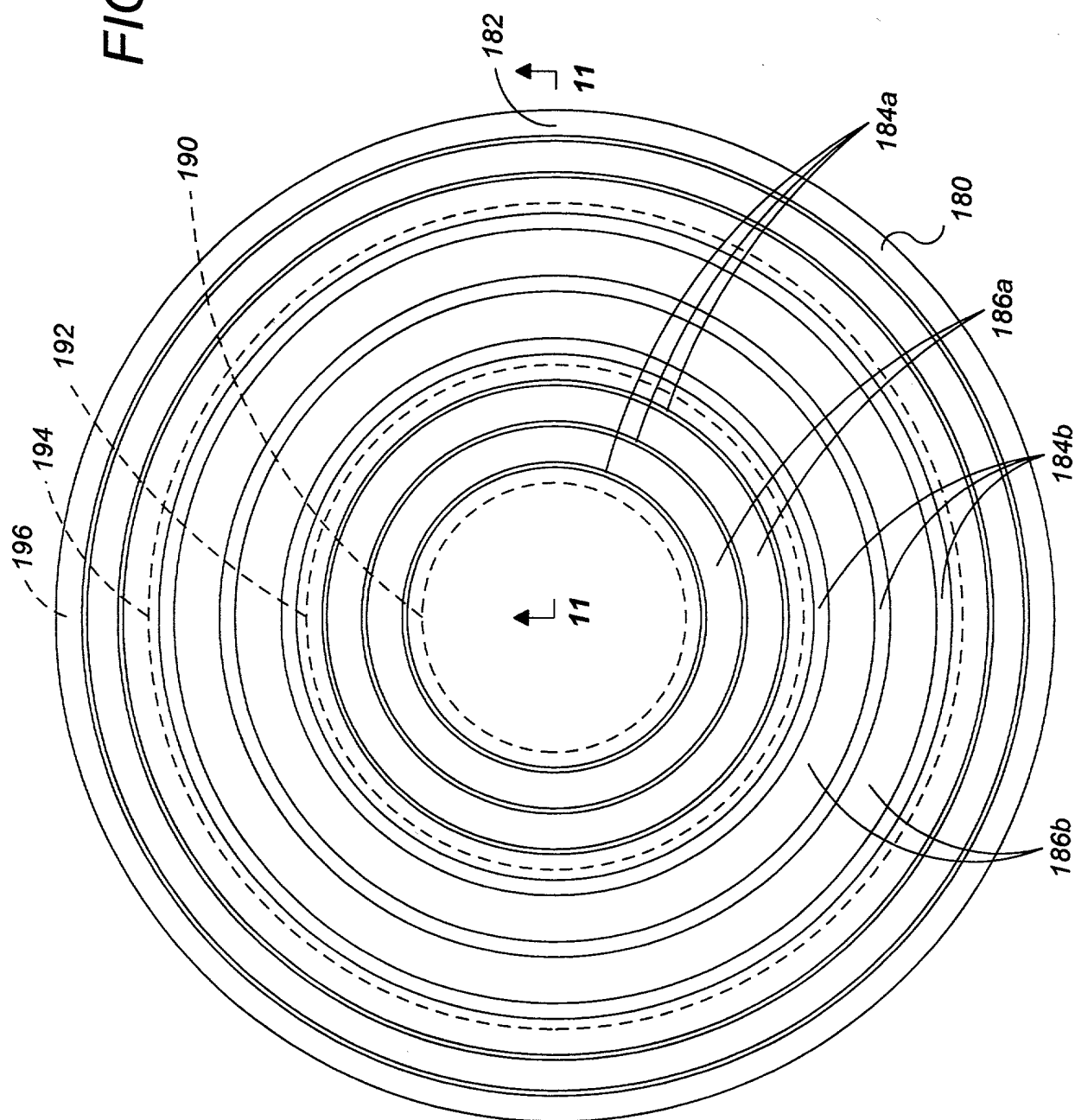








**FIG. 10**



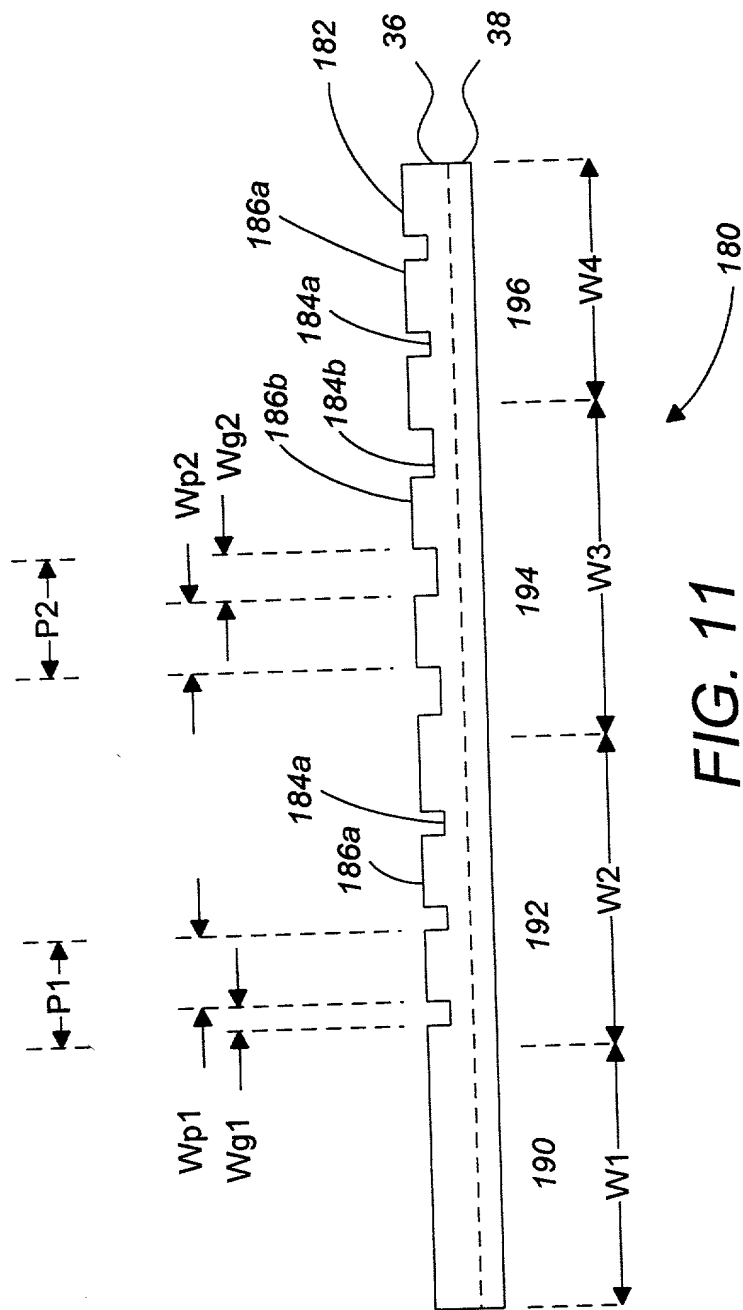
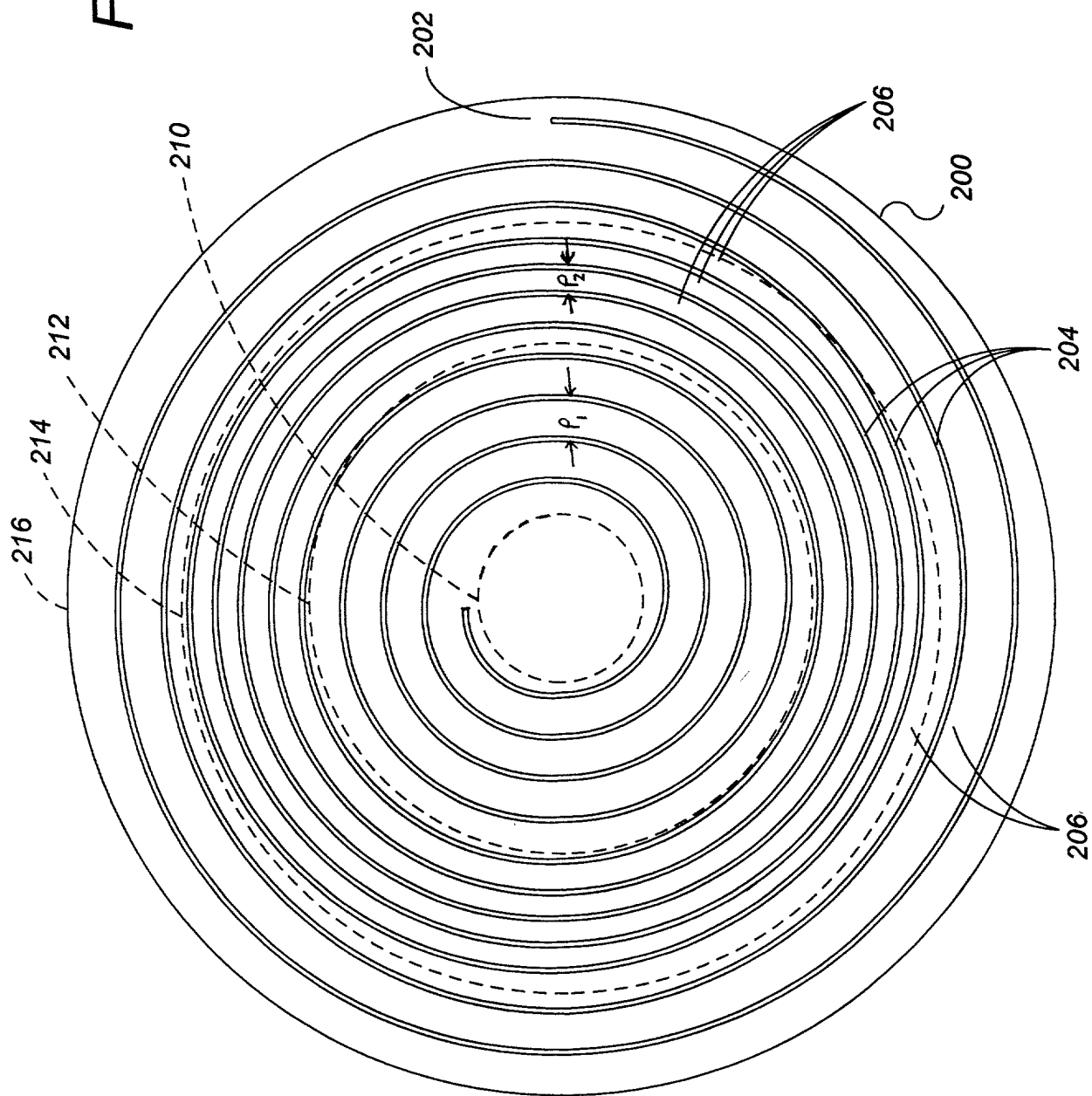


FIG. 11

**FIG. 12**



**FIG. 13**

FIG. 14

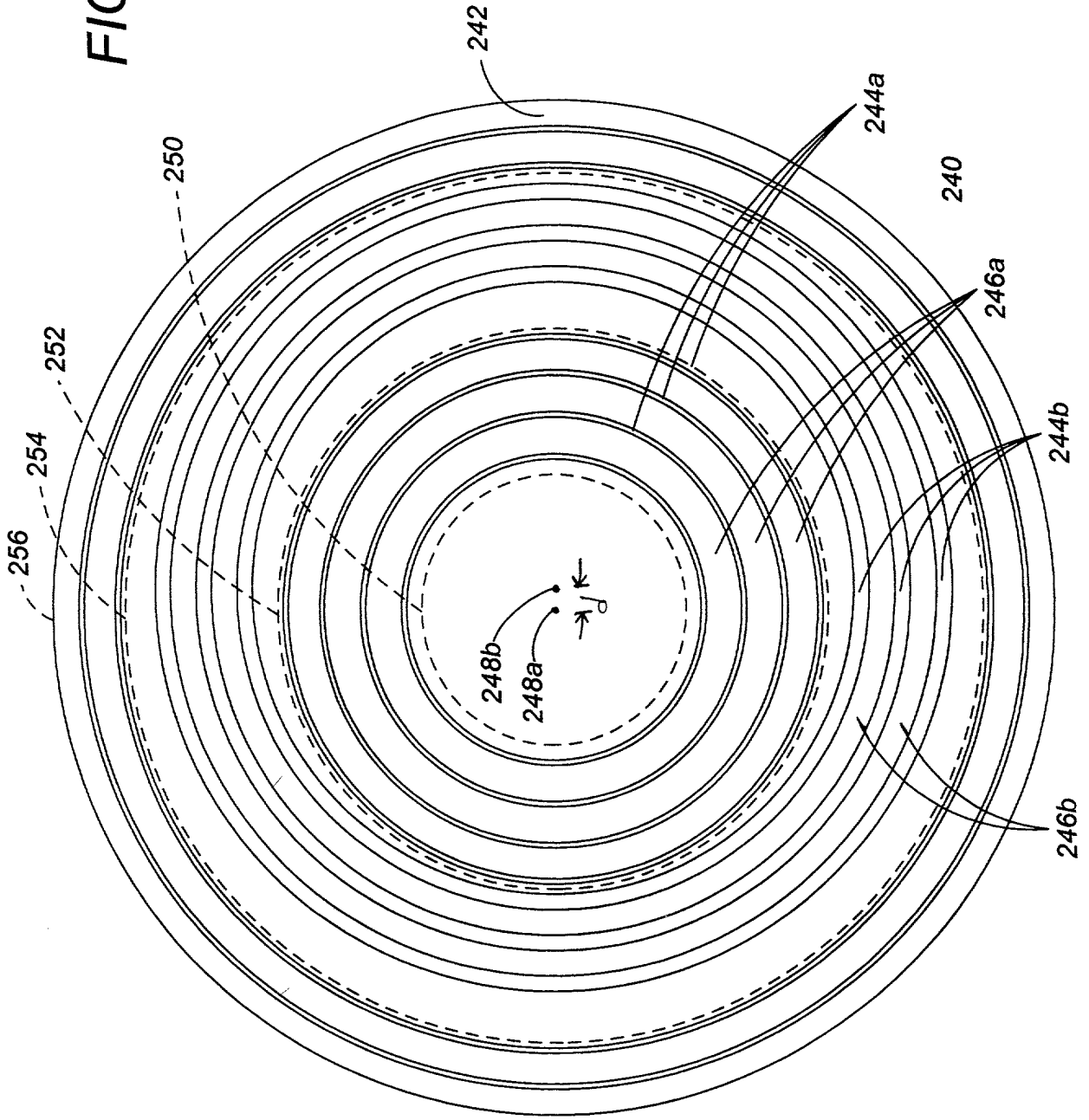


FIG. 15

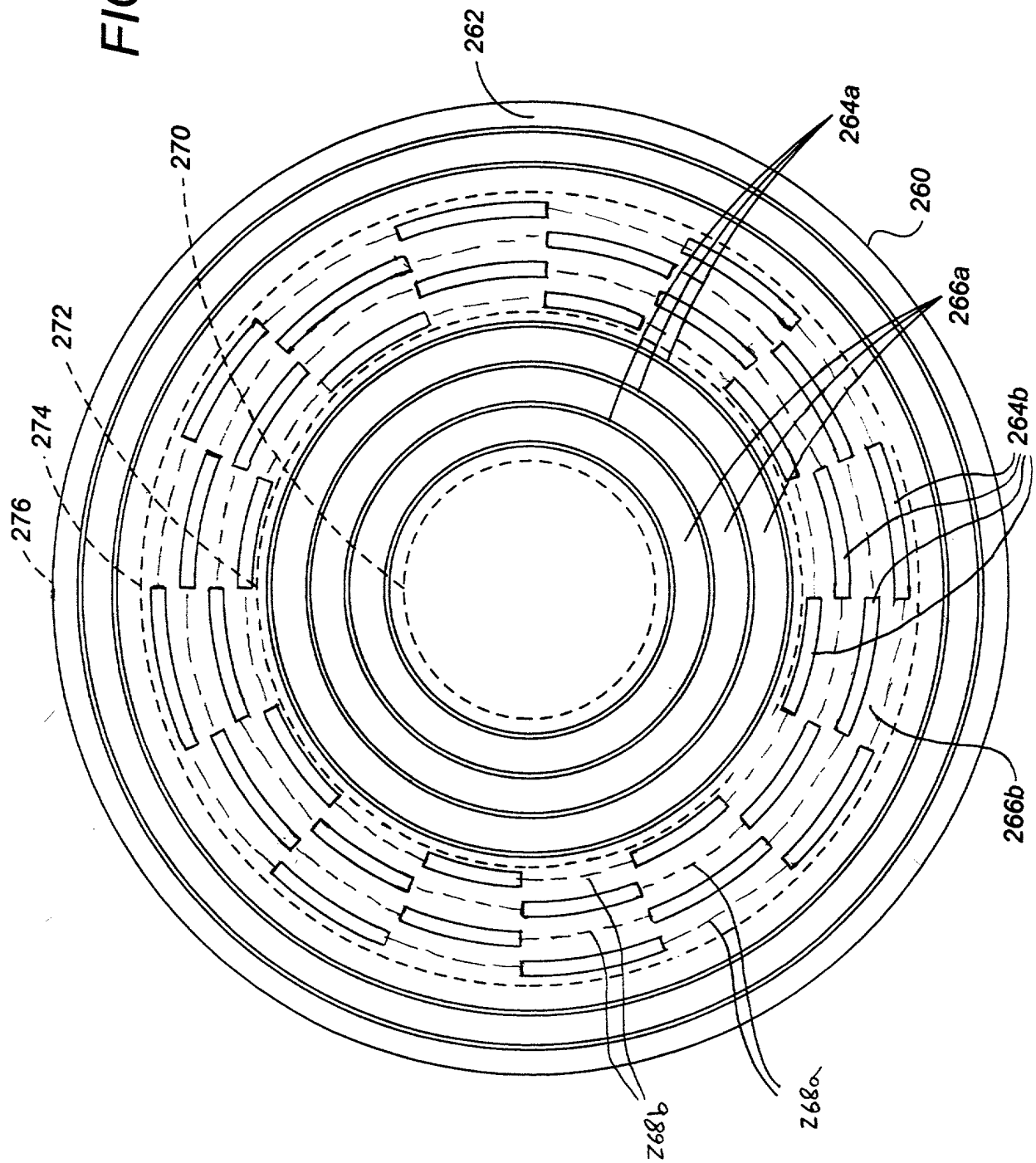


FIG. 16

